



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	) Examiner: SPECTOR, LORRAINE
ASHKENAZI, et al.	)
Application Serial No. 09/991,163	) Art Unit: 1647
Filed: NOVEMBER 14, 2001	) Confirmation No: 4016
For: PRO1111 POLYPEPTIDES	) Attorney's Docket No. 39780-2730P1C17
	) Customer No. 35489
	)
	)

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

**MAIL STOP — AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If Form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with M.P.E.P. §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

- ☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
  - ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
  - ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
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- ☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; **or**
  - ☒ a fee of **\$180.00** as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
  - B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
  - C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of **\$0.00** and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. **08-1641 (Attorney's Docket No. 39780-2730P1C17).**

Respectfully submitted,

Dated: September 29, 2006

By: *Barrie Greene* Reg. No. 46,740 for  
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<b>Form PTO-1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b>				<b>Attorney's Docket No.</b> 39780-2730P1C17		<b>Application Serial No.</b> 09/991,163		
				<b>Applicant(s)</b> ASHKENAZI, et al.				
<b>(use several sheets if necessary)</b>				<b>Filing Date:</b> NOVEMBER 14, 2001		<b>Group Art Unit:</b> 1647		
<b>U.S. PATENT DOCUMENTS</b>								
<b>Examiner Initials</b>	<b>Ref. No.</b>	<b>Date</b>	<b>Document No.</b>	<b>Name</b>	<b>Class</b>	<b>Subclass</b>	<b>Filing Date (if appropriate)</b>	
<b>FOREIGN PATENT DOCUMENTS</b>								
<b>Examiner Initials</b>	<b>Ref. No.</b>	<b>Date</b>	<b>Document No.</b>	<b>Name</b>	<b>Class</b>	<b>Subclass</b>	<b>Translation</b>	
							<b>YES</b>	<b>NO</b>
<b>OTHER DOCUMENTS</b> <i>(including author, title, date, pertinent pages, etc.)</i>								
<b>Examiner Initials</b>	<b>Ref. No.</b>	<b>Title</b>						
	1.	Abe, N., et al., "An Increased High-Mobility Group A2 Expression Level is Associated with malignant Phenotype in Pancreatic Exocrine Tissue," <i>Br J Cancer</i> - 89(11):2104-9 (2003) Abstract.						
	2.	Ando, M., et al., "Selective Apoptosis of Natural Killer-Cell Tumours by L-Asparaginase," <i>Br J Haematol.</i> , - 130(6):860-8 (2005) Abstract.						
	3.	Aust, G., et al., "Human Thyroid Carcinoma Cell Lines and Normal Thyrocytes: Expression and Regulation of Matrix Metalloproteinase Inhibitor-1 Messenger-RNA and Protein," <i>Thyroid</i> - 7(5):713-24 (1997) Abstract.						
	4.	Barnes, V.L., et al., "Expression of Embryonic Fibronectin Isoform EIIIA Parallels Alpha-Smooth Muscle Actin in Maturing and Diseased Kidney," <i>J Histochem Cytochem.</i> - 47(6):787-98 (1999) Abstract.						
	5.	Bea, S., et al., "BMI-1 Gene Amplification and Overexpression in Hematological Malignancies Occur Mainly in Mantle Cell Lymphomas," <i>Cancer Res.</i> - 61(6):2409-12 (2001) Abstract.						
	6.	Blaschke, V., et al., "Rapid Quantitation of Proinflammatory and Chemoattractant Cytokine Expression in Small Tissue Samples and Monocyte-Derived Dendritic Cells: Validation of a New Real-Time RT-PCR Technology," <i>J Immunol Methods.</i> - 246(1-2):79-90 (2000) Abstract.						
	7.	Buckley, A.R., et al., "Butyrate-Induced Reversal of Dexamethasone Resistance in Autonomouse Rat Nb2 Lymphoma Cells," <i>Apoptosis.</i> - 2(6):518-28 (1997) Abstract.						
	8.	Caberlotto, L. et al., "Alterations in Neuropeptide Y Levels and Y1 Binding Sites in the Flinders Sensitive Line Rats, A Genetic Animal Model of Depression," <i>Neurosci Lett.</i> - 265(3):191-4 (1999) Abstract.						
	9.	Caberlotto, L., et al., "Neurokinin 1 Receptor and Relative Abundance of the Short and Long Isoforms in the Human Brain," <i>Eur J Neurosci.</i> - 17(9):1736-46 (2003) Abstract.						
	10.	Choi, D., et al., "Characterization of Cyclin D2 Expression in Human Endometrium," <i>J Soc Gynecol Investig.</i> - 9(1):41-6 (2002) Abstract.						
	11.	Couvelard, A., et al., "Human Chorionic Gonadotrophin Beta Expression in Malignant Barrett's Oesophagus," <i>Virchows Arch.</i> - 445(3):279-84 (2004) Abstract.						
	12.	Dagenais, A., et al., "Downregulation of EnaC Activity and Expression by TNF-Alpha in Alveolar Epithelial Cells," <i>Am J. Physiol Lung Cell Mol Physiol.</i> - 286(2):L301-11 (2004) Abstract.						
	13.	De Boer, C.J., et al., "Involvement of the CCND1 Gene in Hairy Cell Leukemia," <i>Ann Oncol.</i> - 7(3):251-6 (1996) Abstract.						
	14.	Debieve, F., et al., "Inhibin and Activin Production and Subunit Expression in Human Placental Cells Cultured in Vitro," <i>Mol Hum Reprod.</i> - 6(8):743-9 (2000) Abstract.						
	15.	Dong, Z., et al., "Expression of Membrane-Type Matrix Metalloproteinases 4, 5, and 6 in Mouse Corneas Infected with P. Aeruginosa," <i>Invest Ophthalmol Vis Sci</i> - 42(13):3223- (2001) Abstract.						

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OTHER DOCUMENTS (including author, title, date, pertinent pages, etc.)			
16.	Duchrow, M., et al., "Assessment of Proliferative Activity in Colorectal Carcinomas by Quantitative Reverse Transcriptase-Polymerase Chain Reaction (RT-PCR)," <i>Cancer Invest.</i> - 19(6):588-96 (2001) Abstract.		
17.	Dyer, J., et al., "Molecular Characterisation of Carbohydrate Digestion and Absorption in Equine Small Intestine," <i>Equine Vet J.</i> - 34(4):349-58 (2002) Abstract.		
18.	Egwuagu, C.E., et al., "Suppressors of Cytokine Signaling Proteins are Differentially Expressed in Th1 and Th2 Cells: Implications for Th Cell Lineage Commitment and Maintenance," <i>J Immunol.</i> - 168(7):3181-7 (2002) Abstract.		
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21.	Forsberg, H., et al., "Altered Levels of Scavenging Enzymes in Embryos Subjected to a Diabetic Environment," <i>Free Radic Res.</i> - 24(6):451-9 (1996) Abstract.		
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23.	Fu, K., et al., "Cyclin D1-Negative Mantle Cell Lymphoma: A Clinocopathologic Study Based on Gene Expression Profiling," <i>Blood</i> - 106(13):4315-21 (2005) Abstract.		
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25.	Furuta, J., et al., "Silencing of the Thrombomodulin Gene in Human Malignant Melanoma," <i>Melanoma Res.</i> - 15(1):15-20 (2005) Abstract.		
26.	Futcher, B., et al., "A Sampling of the Yeast Proteome," <i>Mol Cell Biol.</i> , - 19(11):7357-68 (1999) Abstract.		
27.	George, J., et al., "Pre-translational Regulation of Cytochrome P450 Genes is Responsible for Disease-Specific Changes of Individual P450 Enzymes Among Patients with Cirrhosis," <i>Biochem Pharmacol.</i> - 49(7):873-81 (1995) Abstract.		
28.	Giroux, M., et al., "Cyclooxygenase-2 Expression in Macrophages: Modulation by Protein Kinase C-alpha," <i>J Immunol.</i> - 165(7):3985-91 (2000) Abstract.		
29.	Gnatenko, D.V., et al., "Transcript Profiling of Human Platelets Using Microarray and Serial Analysis of Gene Expression," <i>Blood</i> - 101(6):2285-93 (2003) Abstract.		
30.	Godbout, R., et al., "Overexpression of DEAD Box Protein (DDX1) in Neuroblastoma and Retinoblastoma Cell Lines," <i>J Biol Chem</i> , - 273(33):21161-8 (1998) Abstract.		
31.	Goldenberg, R.C., et al., "Modulation of Gap Junction Mediated Inter cellular Communication in TM3 Leydig Cells," <i>J Endocrinol.</i> - 177(2):327-35 (2003) Abstract.		
32.	Golebiowski, F., et al., "Expression Level of Ubc9 Protein in Rat Tissues," <i>Acta Biochim Pol.</i> - 50(4):1065-73 (2003) Abstract.		
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34.	Grenback, E., et al., "Galanin Pituitary Adenomas," <i>Regul Pept.</i> - 117(2):127-39 (2004) Abstract.		
35.	Gromova, I., et al., "Protein Abundance and mRNA Levels of the Adipocyte-Type Fatty Acid Binding Protein Correlate in Non-Invasive and Invasive Bladder Transitional Cell Carcinomas," <i>Int J. Oncol.</i> - 13(2):379-83 (1998) Abstract.		
36.	Guo, Y., et al., "The Pathogenic Role of Macrophage Migration Inhibitory Factor in Acute Respiratory Distress Syndrome," <i>Zhinhua Jie He He Hu Xi Za Zhi</i> - 25(6):337-40 (2002) Abstract.		

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38.		Hahn, M.E., et al., "Regulation of Cytochrome P4501A1 in Teleosts: Sustained Induction of CYP1A1 mRNA, Protein, and Catalytic Activity by 2,3,7,8-Tetrachlorodibenzofuran in the Marine Fish <i>Stenotomus Chrysops</i> ," <i>Toxicol Appl Pharmacol</i> - 127(2):187-98 (1994) Abstract.	
39.		Hahnel, R., et al., "Expression of the pS2 Gene in Breast Tissues Assessed by pS2-mRNA Analysis and pS2-Protein Radioimmunoassay," <i>Breast Cancer Res Treat</i> - 24(1):71-4 (1992) Abstract.	
40.		Hamilton, L.M., et al., "The role of the Epidermal Growth Factor Receptor in Sustaining Neutrophil Inflammation in Severe Asthma," <i>Clin Exp Allergy</i> - 33(2):233-40 (2003) Abstract.	
41.		Hassett, C., et al., "Human Hepatic Microsomal Epoxide Hydrolase: Comparative Analysis of Polymorphic Expression," <i>Arch Biochem Biophys</i> - 337(2):275-83 (1997) Abstract.	
42.		Holten-Andersen, M.N., et al., "Localization of Tissue Inhibitor of Metalloproteinases 1 (TIMP-1) in Human Colorectal Adenoma and Adenocarcinoma," <i>Int J Cancer</i> - 113(2):198-206 (2005) Abstract.	
43.		Huang, Y.H., et al., "Tissue Plasminogen Activator Induced by Dengue Virus Infection of Human Endothelial Cells," <i>J Med Virol</i> - 70(4):610-6 (2003) Abstract.	
44.		Huettnner, P.C., et al., "Neu Oncogene Expression in Ovarian Tumors: A Quantitative Study," <i>Mod Pathol</i> - 5(3):250-6 (1992) Abstract.	
45.		Hui, P., et al., "Real-time Quantitative RT-PCR of Cyclin D1 mRNA in Mantle Cell Lymphoma: Comparison with FISH and Immunohistochemistry," <i>Leuk Lymphoma</i> - 44(8):1385-94 (2003) Abstract.	
46.		Husain, I., et al., "Elevation of Topoisomerase I Messenger RNA, Protein, and Catalytic Activity in Human Tumors: Demonstration of Tumor-Type Specificity and Implications for Cancer Chemotherapy," <i>Cancer Res</i> - 54(2):539-46 (1994) Abstract.	
47.		Ihmann, T., et al., "High-level mRNA Quantification of Proliferation Marker pKi-67 is Correlated with Favorable Prognosis in Colorectal Carcinoma," <i>J Cancer Res Clin Oncol</i> - 130(12):749-56 (2004) Abstract.	
48.		Ikegami, T., et al., "Modulation of Glucagon Receptor Expression and Response in Transfected Human Embryonic Kidney Cells," <i>Am J Physiol Cell Physiol</i> - 281(4):C1396-402 (2001) Abstract.	
49.		Jacquemin, E., et al., "Developmental Regulation of Acidic Fibroblast Growth Factor (aFGF) Expression in Bovine Retina," <i>Int J Biol</i> - 37(3):417-23 (1993) Abstract.	
50.		Jaime, M., et al., "The p21 (Cip1) Protein, A Cyclin Inhibitor, Regulates the Levels and the Intracellular Localization of CDC25A in Mice Regenerating Livers," <i>Hepatology</i> - 35(5):1063-71 (2002) Abstract.	
51.		Janssens, N., et al., "Alteration of Frizzled Expression in renal Cell Carcinoma," <i>Tumour Biol</i> - 25(4):161-71 (2004) Abstract.	
52.		Jungbluth, A.A., et al., "Immunohistochemical Analysis of NY-ESO-1 Antigen Expression in Normal and Malignant Human Tissues," <i>Int J Cancer</i> - 92(6):856-60 (2001) Abstract.	
53.		Kalabis, G.M., et al., "Multidrug Resistance Phosphoglycoprotein (ABCB1) in the Mouse Placenta: Fetal Protection," <i>Biol Reprod</i> - 73(4):591-7 (2005) Abstract.	
54.		Kammaori, M., et al., "Expression of Human Telomerase Reverse Transcriptase Gene and Protein, and of Estrogen and Progesterone Receptors, in Breast Tumors: Preliminary Data from Neo-Adjuvant Chemotherapy," <i>Int J Oncol</i> - 27(5):1257-63 (2005) Abstract.	
55.		Khal, J., et al., "Expression of the Ubiquitin-Proteasome Pathway and Muscle Loss in Experimental Cancer Cachexia," <i>Br J Cancer</i> - 93(7):774-80 (2005), Abstract.	
56.		Khal, J., et al., "Increased Expression of Proteasome Subunits in Skeletal Muscle of Cancer Patients with Weight Loss," <i>Int J Biochem Cell Biol</i> - 37(10):2196-206 (2005) Abstract.	

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57.		Kogo, H., et al., "Cell Type-Specific Occurrence of Caveolin-1alpha and -1beta in the Lung caused by Expression of Distinct mRNAs," <i>J Biol Chem.</i> - 279(24):25574-81 (2004) Abstract.	
58.		Kommos, F., et al., "Oncogene and Growth Factor Expression in Ovarian Cancer," <i>Acta Obstet Gynecol Scand Suppl.</i> - 155:19-24 (1992) Abstract.	
59.		Kumar, U., et al., "Somatostatin Receptors in Primary Human Breast Cancer: Quantitative Analysis of mRNA for Subtypes 1-5 and Correlation with Receptor Protein Expression and Tumor Pathology," <i>Breast Cancer Res. Treat.</i> - 92(2):175-86 (2005) Abstract.	
60.		Kuo, C.C., et al., "A Transcriptomic and Proteomic Analysis of the Effect of CpG-ODN on Human THP-1 Monocytic Leukemia Cells," <i>Proteomics</i> - 5(4):894-906 (2005) Abstract.	
61.		Landmark, B.F., et al., "Cellular Location and Age-dependent Changes of the regulatory Subunits of cAMP-dependent Protein Kinase in Rat Testis," <i>J Reprod Fertil.</i> - 99(2):323-34 (1993) Abstract.	
62.		Lassmann, S., et al., "Quantification of CK20 Gene and Protein Expression in Colorectal Cancer by RT-PCR and Immunohistochemistry Reveals Inter- and Intratumour Heterogeneity," <i>J Pathol.</i> - 198(2):198-206 (2002) Abstract.	
63.		Legrand, O., et al., "Expression of the Multidrug Resistance-Associated Protein (MRP) mRNA and Protein in Normal Peripheral Blood and Bone Marrow Haemopoietic Cells," <i>Br J, Haematol.</i> - 94(1):23-33 (1996) Abstract.	
64.		Lemstrom, K.B., et al., "Vascular Endothelial Growth Factor Enhances Cardiac Allograft Arteriosclerosis," <i>Circulation</i> - 105(21):2524-30 (2002) Abstract.	
65.		Li, Z.B., et al., "Enhanced Expressions of Arachidonic Acid-Sensitive Tandem-Pore Domain Potassium Channels in Rat Experimental Acute Cerebral Ischemia," <i>Biochem Biophys Res Commun.</i> - 327(4):1163-9 (2005) Abstract.	
66.		Li, Y., et al., "Retinal Preconditioning and the Induction of Heat-Shock Protein 27," <i>Invest Ophthalmol Vis Sci.</i> - 44(3):1299-304 (2003) Abstract.	
67.		Lindberg, P., et al., "Increasing Expression of Tissue Plasminogen Activator and Plasminogen Activator Inhibitor Type 2 in Dog Gingival Tissues with Progressive Inflammation," <i>Arch Oral Biol.</i> - 46(1):23-31 (2001) Abstract.	
68.		Macabeo-Ong, M., et al., "Effect of Duration of Fixation on Quantitative Reverse Transcription Polymerase Chain Reaction Analyses," 15(9):979-87 (2002) Abstract.	
69.		Maruyama, H., et al., "Id-1 and Id-2 are Overexpressed in Pancreatic Cancer and in Dysplastic Lesions in Chronic Pancreatitis," <i>Am J Pathol.</i> - 155(3):815-22 (1999) Abstract.	
70.		Meehan, T.P., et al., "Tightly Regulated and Inducible Expression of a Yoked Hormone-Receptor Complex in HEK 293 Cells," <i>J Mol Endocrinol.</i> - 32(1):247-55 (2004) Abstract.	
71.		Mendoza-Rodriguez, C.A., et al., "C-fos and Estrogen Receptor Gene Expression Pattern in the Rat Uterine Epithelium During the Estrous Cycle," <i>Mol Reprod Dev.</i> 64(4):379-88 (2003) Abstract.	
72.		Meoni, P., et al., "[3H]MK-801 Binding and the mRNA for the NMDAR1 Subunit of the NMDA Receptor are Differentially Distributed in Human and Rat Forebrain," <i>Brain Res Mol Res.</i> - 54(1):13-23 (1998) Abstract.	
73.		Mezzano, S.A., et al., "Overexpression of Chemokines, Fibrogenic Cytokines, and Myofibroblasts in Human Membranous Nephropathy," <i>Kidney Int.</i> - 57(1):147-58 (2000) Abstract.	
74.		Mingrone, G., et al., "Decreased Uncoupling Protein Expression and Intramyocytic Triglyceride Depletion in Formerly Obese Subjects," <i>Obes Res.</i> - 11(5):632-40 (2003) Abstract.	
75.		Miralles, C.P., et al., "Differential Expression of the Short and Long Forms of the Gamma 2 Subunit of the GABAA/benzodiazepine Receptors," <i>Brain Res Mol Res.</i> - 24(1-4):129-39 (1994) Abstract.	
76.		Mizrachi, D., et al., "Follicle-stimulating Hormone Receptor and Its Messenger Ribonucleic Acid are Present in the Bovine Cervix and Can Regulate Cervical Prostanoid Synthesis," <i>Biol Reprod.</i> - 61(3):776-84 (1999) Abstract.	
77.		Monaghan, P., et al., "The Alpha(v)beta6 Integrin Receptor for Foot-and-Mouth Disease Virus is Expressed Constitutively on the Epithelial Cells Targeted in Cattle," <i>J Gen Virol.</i> - 86(Pt 10):2769-80 (2005) Abstract.	

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78.	Montuori, N., et al., "Urokinase-Mediated Posttranscriptional Regulation of Urokinase-Receptor Expression in Non Small Cell Lung Carcinoma," <i>Int J Cancer</i> - 105(3):353-60 (2003) Abstract.		
79.	Munaut, C., et al., "Vascular Endothelial Growth Factor Expression Correlates with Matrix Metalloproteinases MT1-MMP, MMP-2 and MMP-9 in Human Glioblastomas," <i>Int J Cancer</i> - 106(6):848-55 (2003) Abstract.		
80.	Nie, Y., et al., "DNA Hypermethylation is a Mechanism for Loss of Expression of the HLA Class I Genes in Human Esophageal Squamous Cell Carcinomas," <i>Carcinogenesis</i> - 22(10):1615-23 (2001) Abstract.		
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Form PTO-1449		Attorney's Docket No. 39780-2730P1C17	Application Serial No. 09/991,163
<b>INFORMATION DISCLOSURE STATEMENT</b>		Applicant(s) <div style="text-align: center;">ASHKENAZI, et al.</div>	
(use several sheets if necessary)		Filing Date: NOVEMBER 14, 2001	Group Art Unit: 1647
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